Mark Integrity Program

Guide for legacy, smart and enhanced UL Certification Marks

This document provides guidance to customers and UL Solutions authorized label suppliers (printers) on UL Solutions requirements for printing the UL Mark.

Authorized supplier's agreement (L-10)

The agreement with the certification marks supplier must be properly signed and executed before a supplier may reproduce the UL Mark. Once the agreement is properly executed, the supplier becomes eligible to reproduce the UL Mark on labels when authorized by UL Solutions. The supplier's name is added to our published directory of authorized label suppliers accessible via our website at productiq.ULprospector.com.

To verify adherence to the agreement and applicable requirements, we reserve the right to unfettered, unannounced, and immediate access to such facilities during normal business hours (or when the supplier's facilities are in operation) to examine all records relating to the printing, manufacture, delivery, shipment or distribution of UL Marks. The supplier shall keep a copy of the signed and executed agreement and make it available upon demand during the periodic audit visits from our field engineering team.

The Mark

Upon successful certification of a product, we authorize the manufacturer to apply the UL Mark on products as the manufacturer's declaration that it was manufactured in accordance with UL Solutions requirements. This authorization applies only to those products that comply with the UL Solutions requirements and are manufactured at facilities authorized by UL Solutions. The UL Solutions field engineering team audits production to confirm that products bearing the UL Mark continue to meet UL Solutions requirements. A complete list of UL Marks and downloadable versions of UL Marks can be obtained via our website at <u>marks.ul.com/about</u>. For smart and enhanced UL Marks, UL Solutions customers must build artwork using the Marks Hub at markshub.UL.com. Once a manufacturer receives approval from UL Solutions for the enhanced Mark artwork, the manufacturer may place an order for labels with the smart and enhanced UL Mark through a supplier providing a copy of the approved artwork. UL Solutions does not review UL Mark artwork submitted from a label supplier.



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Printing the UL Mark

Records

Suppliers shall retain all records pertaining to orders of UL Marks for a minimum of two years. These records shall be accessible during the periodic audit visits conducted by the UL Solutions field engineering team. For additional information, please visit our UL Marks information page at <u>www.UL.com/marks</u>.

Printing UL Marks on holographic material

For certain types of products, UL Solutions requires the UL Mark to appear on special holographic substrate material. To control the flow and authenticity of holographic labels, there is only one method customers may use to obtain holographic labels. The product categories that require this special holographic substrate material can be found at <u>marks.UL.com/</u> <u>about/UL-listing-and-classification-</u> <u>marks/hologram-UL-marks/</u>.

Label materials and permanence of marking requirements

Labels that bear the UL Mark are not subject to specific label material, ink or durability requirements, except as noted in the "Printing UL Marks on holographic material" section above for categories that require UL Solutions holographic material, or if the UL Mark appears on the same label as other markings described in the UL Solutions Follow-Up Services Procedure and those markings are required to appear on a label made from a specific material, ink or meet specific durability requirements. To determine whether the UL Mark or other additional information provided on the label complies with these requirements, manufacturers must refer to the UL Solutions Follow-Up Services Procedure covering their product. Label printers interested in determining a customer's label requirements should request that information from the product manufacturer. Additional information about the permanence of marking

requirements is available at www.UL.com/offerings/markingand-labeling-systems-program.

The UL Mark serves as evidence of UL Certification. If the UL Mark comes off, that product can no longer be identified as UL Certified. Therefore, the manufacturer should exercise caution in selecting a label material and ink to mitigate any risk associated with the label's performance.

Authorization for suppliers to reproduce the UL Mark

Type R Marks

The Type R UL Mark consists of the following elements:

- Appropriate UL Mark
- The appropriate keyword associated with the certification, e.g., CERTIFIED, LISTED or CLASSIFIED
- Product name (may include ancillary information as required for the product category
- A UL Solutions file number or Category Control Number (typically consisting of four alphanumeric characters)

In addition to the above required elements, the manufacturer may include other information associated with the certification on the UL Mark label, including the Listee's name, electrical ratings, warning markings, date codes, place of manufacture, etc. The additional information shall not interfere with the required elements of the Mark as authorized by UL Solutions on the stamped drawing or as shown on the Listing Mark Data page or Classification Marking Data page.

The Listee's UL Solutions file number may be used in place of their fourcharacter alphanumeric Control Number without requiring a revision in the UL Solutions Follow-Up Services Procedure, except when a Listee participates in the UL Solutions Multiple Listee program. In such cases, the UL Solutions Follow-Up Services Procedure may need to be revised to maintain proper traceability. Contact your UL Solutions project handler or the UL Solutions Multiple Listing team (MLs@UL.com) for more information.

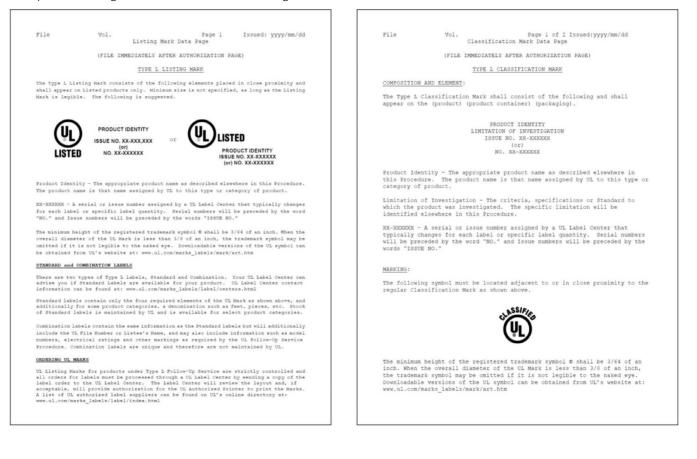
As authorization from UL Solutions to print Type R Marks, suppliers must obtain from the UL Solutions customer a copy of the Listing Mark Data Page, Classification Mark Data Page or a copy of the UL Mark stamped artwork that the manufacturer submitted to UL Solutions. UL Solutions does not review UL Mark artwork submitted from a label supplier. Requests for artwork reviews may be submitted directly to UL Solutions only by the manufacturer of the product.

The UL Solutions Listing Mark Data page and Classification Marking Data page are part of the manufacturer's copy of the UL Solutions Follow-Up Services Procedure and describe the required elements of the UL Mark. The UL Solutions manufacturer shall ensure that UL Marks are printed in accordance with the elements required on the Listing Mark Data page or Classification Mark Data page.

An example of the stamp used to authorize the UL Mark layout for manufacturers is shown below:

	COMPOSITION OF
/	UL MARK ACCEPTED
/	FOR USE ONLY ON
/	PRODUCTS DESCRIBED IN
	FOLLOW-UP SERVICES PROCEDURE
BY:	DATE:
	UL LLC

Examples of a Listing and Classification Mark Data Page are shown below:



For product categories created before 2013, these unique pages may be placed in the Type R Listed and Classified UL Solutions Follow-Up Services Procedure to identify the Mark elements. After 2013, marking requirements may be contained only in the individual guide card information for the product category. Listing or Classification Mark Data Page templates like these may no longer be created for new Type R Listed or Classified product categories.

Type L Marks

The Type L Mark consists of the following elements:

- Marks (Type L) can only be ordered through one of UL Solutions' Label Centers.
- Appropriate UL Solutions symbol and keyword, e.g., CERTIFIED, LISTED, CLASSIFIED or Recognized Component; while many non-Type L product categories use the RECOGNIZED COMPONENT symbol, there are some Type L Recognized Components that also use it: appliance wiring materials, wiring harnesses and processed wire, for example
- Product name (may include ancillary information as required for the product category)
- "SERIAL NO.," "LOT NO." or "ISSUE NO." followed by the numbers appearing on the printing authorization order from UL Solutions
- UL Solutions Listee company name or UL Solutions file number for non-standard labels

In addition to the above, the manufacturer may include other information associated with the certification along with the Type L Mark, including electrical ratings, warning markings, date codes, place of manufacture, etc.

Type L Marks will be shipped as directed on the authorization order. As an added level of control, the UL Solutions field engineering team assigned to the manufacturer's facility will review the Marks and quantity of Marks received by the manufacturer.

The authorization from UL Solutions to print the order of Type L UL Marks will include the name of the supplier; appropriate UL Solutions Mark; keyword; appropriate wording; serial, lot or issue numbers; and the specific quantity of UL Marks to be produced. UL Solutions may also specify the label material, packaging and shipping instructions. In addition, the supplier must comply with the general terms and conditions that are part of each UL Solutions authorization to reproduce Type L Marks and at the following link: Terms and conditions.

For both Type R and L Marks

A product bearing a UL Certification Mark shall also be marked to identify the Listee. Listee identifiers may be one of the following: name, file number, trade name or trademark. The Listee identifier used on the product must match one of the Listee identifiers found in the UL Solutions Follow-Up Services Procedure, but only one is required to be marked on the product. A signed authorization letter from the Listee is required to add a Listee trade name or trademark to the UL Solutions report and UL Product iQ[®].

UL Solutions label centers

UL Solutions has label centers worldwide. These label centers can process printing order authorizations for UL Marks under Type L Service.

To locate the UL Solutions label center closest to you, please visit the UL Solutions website at the following link or contact a customer service professional at the UL Solutions office in your area:

marks.UL.com/about/UL-listing-and-classification-marks/labels/label-centers/



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